

Placement of Thermal Vias in 3D ICs using Various Thermal Objectives

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ABSTRACT

As thermal problems become more evident, new physical design paradigms and tools are needed to alleviate them. Incorporating thermal vias into integrated circuits (ICs) is a promising way of mitigating thermal issues by lowering the effective thermal resistance of the chip. However, thermal vias take up valuable routing space, and therefore, algorithms are needed to minimize their usage while placing them in areas where they would make the greatest impact. With the developing technology of three-dimensional integrated circuits (3D ICs), thermal problems are expected to be more prominent, and thermal vias can have a larger impact on them than in traditional 2D ICs. In this paper, thermal vias are assigned to specific areas of a 3D IC and used to adjust their effective thermal conductivities. The method, which uses finite element analysis (FEA) to calculate temperatures quickly during each iteration, makes iterative adjustments to these thermal conductivities in order to achieve a desired thermal objective and is general enough to handle a number of different thermal objectives such as achieving a desired maximum operating temperature. With this method, 49% fewer thermal vias are needed to obtain a 47% reduction in the maximum temperatures, and 57% fewer thermal vias are needed to obtain a 68% reduction in the maximum thermal gradients than would be needed using a uniform distribution of thermal vias to obtain these same thermal improvements. Similar results were seen for other thermal objectives, and the method efficiently achieves its thermal objective while minimizing the thermal via utilization.

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Categories and Subject Descriptors

B.7.2 [Integrated Circuits]: Design Aids – *Placement and routing*; B.7.1 [Integrated Circuits]: Types and Design Styles – *Advanced technologies*

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Keywords

3-D IC, 3-D VLSI, thermal optimization, temperature, thermal gradient, placement, routing, finite element analysis, thermal via

1. INTRODUCTION

As the technology node progresses, chip areas and wire lengths continue to increase, causing such problems as increased interconnect delays, power consumption, and temperature, all of which can have serious implications on reliability, performance, and design effort. Three dimensional technology attempts to overcome some of these limitations by stacking multiple active layers into a monolithic structure, using special processing technologies such as silicon-on-insulator (SOI) or wafer bonding [1]. By expanding vertically rather than spreading out over a larger area, the chip space is better utilized, interconnects are decreased, and transistor packing densities are increased, leading to better performance and power efficiency [2]. Despite the advantages that 3D ICs have over 2D ICs, thermal effects are expected to be more pronounced because of higher power densities and greater thermal resistance along heat dissipation paths. With the advent of better processing technologies for 3D ICs, design tools are needed to realize their full potential and overcome thermal and efficiency issues. Current design tools used for 2D ICs can not be easily extended to 3D ICs [2], especially when taking into account thermal effects.

The idea of using thermal vias to alleviate thermal problems was first utilized in the design of packaging and

printed circuit boards (PCBs). Lee *et al.* studied arrangements of thermal vias in the packaging of multichip modules (MCMs) and found that as the size of thermal via islands increased, more heat removal was achieved but less space was available for routing [3]. Li studied the relationships between design parameters and the thermal resistance of thermal via clusters in PCBs and packaging [4]. These relationships were determined by simplifying the via cluster into parallel networks using the observation that heat transfer is much more efficient vertically through the thickness than laterally from heat spreading. Pinjala *et al.* performed further thermal characterizations of thermal vias in packaging [5]. Although these papers have limited application for the placement of thermal vias inside chips, the basic use and properties of thermal via are demonstrated. It is important to realize that there is a tradeoff between routing space and heat removal, indicating that thermal vias should be used sparingly. Simplified thermal calculations can be used for thermal vias, and the direction of heat conduction is primarily in the orientation of the thermal via.

Chiang *et al.* first suggested that “dummy thermal vias” can be added to the chip substrate as additional electrically isolated vias to reduce effective thermal resistances and potential thermal problems [6]. A number of papers have addressed the potential of integrating thermal vias directly inside chips to reduce thermal problems internally [6,7,8,9]. Because of the insulating effects of numerous dielectric layers, thermal problems are greater and thermal vias can have a larger impact in 3D ICs than 2D ICs. In addition, interconnect structures can create efficient thermal conduits and greatly reduce chip temperatures.

It has become of particular interest to design efficient heat conduction paths right into a chip to eliminate localized hot spots directly. Despite all the work that has been done in evaluating thermal vias, thermal via placement algorithms are lacking for both 3D and 2D ICs. As circuits and temperature profiles increase in complexity, efficient algorithms are needed to accurately determine the location and number of internal thermal vias. The thermal via placement method [10] presented in this paper uses designated thermal via regions to place thermal vias and efficiently adjusts the density of thermal vias in each of these regions with minimal perturbations on routing. In the design process, thermal via placement can be applied after placement and before routing.

2. THERMAL VIA REGIONS

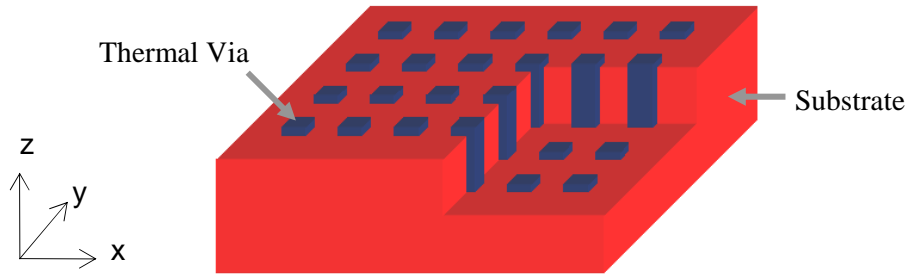


Figure 1. Uniform density of thermal vias within a region.

In order to make the placement of thermal vias more manageable, certain areas of the chip are reserved for placing thermal vias. In a thermal via region as shown in Figure 1, a uniform density of thermal vias is used, and the thermal via placement algorithm determines the density in each of these regions. In a 3D IC as shown in Figure 2, these regions are evenly placed between the rows in a standard cell design.

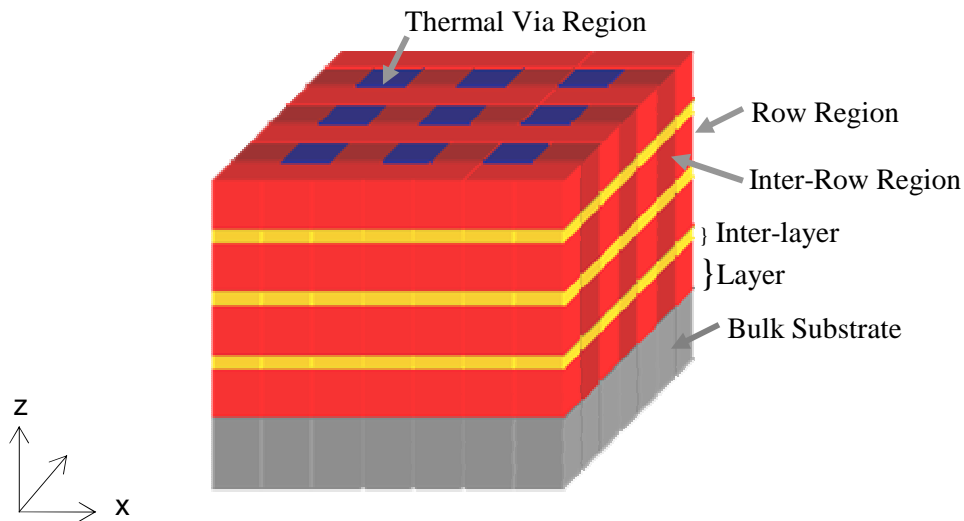


Figure 2. Thermal mesh for a 3D IC, with thermal via regions.

The thermal via regions are composed of electrically isolated vias and are oriented vertically between the rows. The density of the thermal vias determines the thermal conductivity of the region which in turn determines the thermal properties of the entire chip. They are generally obstacles to routing except for regions that require only a low density of thermal vias. Placing thermal vias in specific regions allows for predictable obstacles to routing, and allows for regularity and uniformity in the entire design process. Moreover, the density of these

routing obstacles is limited in any particular area so that the design does not become unroutable.

The value of the thermal conductivity, K , in any particular direction corresponds to the density of thermal vias that are arranged in that direction. Increasing the number of thermal vias in one direction does increase the thermal conductivity in the other directions but at an order of magnitude less. For simplicity, the interdependence can be considered to be negligible, and the K 's in the x , y , and z directions can be considered to be independent to a certain extent.

Current integration technologies for producing 3D ICs result in the layers being closely stacked together and the design space being tightly compressed in the z direction. In addition, the location of the heat sink in relation to the heat sources produces a heat flux that is primarily downward in direction with very minor lateral components. Furthermore, with the thermal via regions being oriented vertically, lateral thermal vias would have little effect. As a result, lateral thermal conductivities (in the x and y directions) are generally unchanged by this method because the thermal gradients in the vertical (z) direction are almost two orders of magnitude larger than in the lateral directions. In this paper, the method will be developed for all three directions, but for the reasons outlined above, only vertically oriented thermal vias will be considered in the implementation and results.

3. TEMPERATURE CALCULATION

At steady state, heat conduction within the chip substrate can be described by the following differential equation:

$$K_x \frac{\partial^2 T}{\partial x^2} + K_y \frac{\partial^2 T}{\partial y^2} + K_z \frac{\partial^2 T}{\partial z^2} + Q(x,y,z) = 0 \quad (1)$$

where T is the temperature, K_x , K_y , and K_z are the thermal conductivities, and Q is the heat generated per unit volume. A unique solution exists when convective, isothermal, and/or insulating boundary conditions are appropriately applied. The nature of the packaging and heat sink determines the boundary conditions. The FEA method from [11] was used for temperature calculations in these experiments. An overview of this method, as applied to 3D ICs, is presented in the remainder of this section.

3.1. FEA Background

In finite element analysis, the design space is first discretized or meshed into elements. An example of an 8-node hexahedral element is shown in Figure 3. The temperatures are calculated at discrete points (the nodes of the element), and the temperatures elsewhere within the element are interpolated using a weighted average of the temperatures at the nodes.

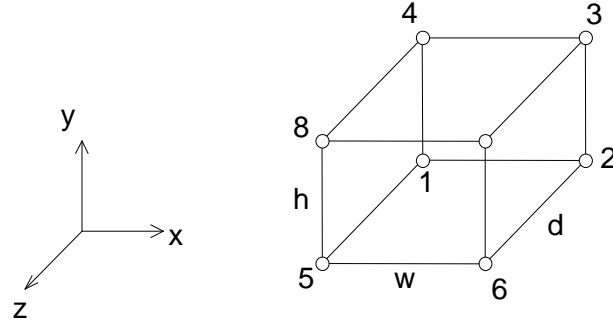


Figure 3. An 8-node hexahedral element.

For an 8-node hexahedral (rectangular prism) element, the following interpolation function is used:

$$T(x,y,z) = [N]\{t\} = \sum_{i=1}^8 N_i t_i \quad (2)$$

where $[N] = [N_1 \ N_2 \ \dots \ N_8]$, $\{t\} = \{t_1 \ t_2 \ \dots \ t_8\}^T$, t_i is the temperature at node i , and N_i is the shape function for node i . The shape functions are determined by the coordinates of the element's center, (x_c, y_c, z_c) , the coordinates at the nodes, (x_i, y_i, z_i) , the width, w , height, h , and depth, d , of the element.

$$N_i = \left(\frac{1}{2} + \frac{2(x_i - x_c)}{w^2} (x - x_c) \right) \left(\frac{1}{2} + \frac{2(y_i - y_c)}{h^2} (y - y_c) \right) \left(\frac{1}{2} + \frac{2(z_i - z_c)}{d^2} (z - z_c) \right) \quad (3)$$

From the shape functions, the thermal gradient, $\{g\}$, can be found as follows:

$$\{g\} = \left\{ \frac{\partial T}{\partial x} \quad \frac{\partial T}{\partial y} \quad \frac{\partial T}{\partial z} \right\}^T = [B]\{t\} \quad \text{where } [B] = \begin{bmatrix} \frac{\partial N_1}{\partial x} & \frac{\partial N_2}{\partial x} & \dots & \frac{\partial N_8}{\partial x} \\ \frac{\partial N_1}{\partial y} & \frac{\partial N_2}{\partial y} & \dots & \frac{\partial N_8}{\partial y} \\ \frac{\partial N_1}{\partial z} & \frac{\partial N_2}{\partial z} & \dots & \frac{\partial N_8}{\partial z} \end{bmatrix}. \quad (4)$$

Similar to circuit simulation using the modified nodal formulation, stamps are created for each element and added to the global system of equations. In FEA, these stamps are called element stiffness matrices, $[k_c]$, and can be derived as follows using the variational method for an arbitrary element type [12]:

$$[k_c] = \iiint_V [B]^T [D] [B] dV \quad (5)$$

where $[D] = \begin{bmatrix} K_x & 0 & 0 \\ 0 & K_y & 0 \\ 0 & 0 & K_z \end{bmatrix}$ and K_x , K_y , and K_z are, respectively, the thermal conductivities in the x , y , and z directions.

3.2. Application of FEA

For a right prism with a width of w , a height of h , and a depth of d as shown in Figure 3, the element stiffness matrix is given in Equation (6) as an 8x8 symmetrical matrix with rows and columns corresponding to the nodes 1 through 8 [11].

$$[k_c] = \begin{bmatrix} +A & +B & +C & +D & +E & +F & +G & +H \\ +B & +A & +D & +C & +F & +E & +H & +G \\ +C & +D & +A & +B & +G & +H & +E & +F \\ +D & +C & +B & +A & +H & +G & +F & +E \\ +E & +F & +G & +H & +A & +B & +C & +D \\ +F & +E & +H & +G & +B & +A & +D & +C \\ +G & +H & +E & +F & +C & +D & +A & +B \\ +H & +G & +F & +E & +D & +C & +B & +A \end{bmatrix} \quad (6)$$

$$\begin{aligned} \text{where } A &= \frac{K_x hd}{9w} + \frac{K_y wd}{9h} + \frac{K_z wh}{9d}, & B &= -\frac{K_x hd}{9w} + \frac{K_y wd}{18h} + \frac{K_z wh}{18d} \\ C &= -\frac{K_x hd}{18w} - \frac{K_y wd}{18h} + \frac{K_z wh}{36d}, & D &= \frac{K_x hd}{18w} - \frac{K_y wd}{9h} + \frac{K_z wh}{18d} \\ E &= \frac{K_x hd}{18w} + \frac{K_y wd}{18h} - \frac{K_z wh}{9d}, & F &= -\frac{K_x hd}{18w} + \frac{K_y wd}{36h} - \frac{K_z wh}{18d} \\ G &= -\frac{K_x hd}{36w} - \frac{K_y wd}{36h} - \frac{K_z wh}{36d}, & H &= \frac{K_x hd}{36w} - \frac{K_y wd}{18h} - \frac{K_z wh}{18d} \end{aligned}$$

For the entire mesh, the elements are aligned in a grid pattern with nodes being shared among at most 8

different elements. The element stiffness matrices are combined into a global stiffness matrix, $[K_{\text{global}}]$, by adding the components of the element matrices corresponding to the same node together. The global heat vector, $\{P\}$, contains power dissipated or heat generation as represented at the nodes. This is produced by distributing the heat generated by the standard cells among its closest nodes. A linear system of equations is produced, $[K_{\text{global}}]\{T\} = \{P\}$ with $\{T\}$ being a vector of all the nodal temperatures.

3.3. Isothermic Boundary Conditions

Isothermic boundary conditions are applied to the global matrix using the following procedure [12], and this results in a reduced, nonsingular system of equations. Rows and columns that correspond to fixed temperature values within the global matrix are eliminated, as are the corresponding values in the power vector, and the remaining values in the right-hand side vector are modified using the fixed temperature values. For example, consider the following system:

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{Bmatrix} T_1 \\ T_2 \end{Bmatrix} = \begin{Bmatrix} P_1 \\ P_2 \end{Bmatrix} \quad (7)$$

Here, A_{11} , A_{12} , A_{21} , and A_{22} represent arrays of elements in the global stiffness matrix, T_1 is the vector of unknown temperatures, T_2 is the vector of fixed temperatures, P_1 is the vector of the known power values corresponding to the unknown values, T_1 , and P_2 is the vector of the unknown power values corresponding to the known values, T_2 . This system can be reduced as follows:

$$[A_{11}]\{T_1\} = \{P_1\} - [A_{12}]\{T_2\} \quad (8)$$

A_{11} is a nonsingular matrix, T_1 contain the unknowns, and the right-hand side is vector of constants so this linear system of equations can now be solved.

3.4. Meshing the 3D IC

In this method, 3D ICs are meshed into regions (elements) as shown in Figure 2. Vertically (in the z direction), the chip is separated into bulk substrate, layer, and inter-layer elements. The bulk substrate is located

at the bottom attached to the heat sink. Above the bulk substrate elements are the layer and inter-layer elements. In a 3D IC, the inter-layers are composed of inter-layer vias and bonding materials that connect the layers together, and the layers contain the device and metal levels. Transistors, the primary sources of heat, are located at the bottom of each layer in the row regions. In standard cell designs, cells are placed into rows with inter-row spaces between them. These inter-row regions are necessary to accommodate interconnects between different layers, and some of these areas can serve as thermal via regions. In our method, thermal via regions are represented as special elements having variable thermal conductivities in the FEA mesh.

4. ITERATIVE THERMAL VIA METHOD

For a given placed 3D circuit, an iterative method was developed in which, during each iteration, the thermal conductivities of certain FEA elements (thermal via regions) are incrementally modified so that thermal problems are reduced or eliminated. Thermal vias are generically added to elements to achieve the desired thermal conductivities. The goal of this method is to satisfy given thermal requirements using as few thermal vias as possible, i.e., keeping the thermal conductivities as low as possible.

4.1. Updating the Thermal Conductivities

During each iteration, the thermal conductivities of thermal via regions are modified, and these thermal conductivities reflect the density of thermal vias needed to be utilized within the region. The new thermal conductivities are derived from the element FEA equations. Using Equation (6), we get:

$$[k_c] \{t\} = \{p\} \tag{9}$$

where $\{t\}$ are the nodal temperatures in the element and $\{p\}$ are the fractions of the nodal heat that transverse this particular element. Under the reasonable assumption that $\{p\}$ does not change between the old and new values in an iteration, we get the following expression:

$$[k_c]_{new} \{t\}_{new} = [k_c]_{old} \{t\}_{old} \tag{10}$$

If we multiply Equation (10) by $\frac{w}{2hd} [1 \ -1 \ -1 \ 1 \ 1 \ -1 \ -1 \ 1]$, $\frac{h}{2wd} [1 \ 1 \ -1 \ -1 \ 1 \ 1 \ -1 \ -1]$, and

$\frac{d}{2wh} [1 \ 1 \ 1 \ -1 \ -1 \ -1 \ -1]$, respectively, we obtain the following equations:

$$K_x^{new} \Delta t_x^{new} = K_x^{old} \Delta t_x^{old} \quad (11)$$

$$K_y^{new} \Delta t_y^{new} = K_y^{old} \Delta t_y^{old} \quad (12)$$

$$K_z^{new} \Delta t_z^{new} = K_z^{old} \Delta t_z^{old} \quad (13)$$

$$\text{where } \Delta t_x^{new} = \frac{t_0^{new} + t_3^{new} + t_4^{new} + t_7^{new}}{4} - \frac{t_1^{new} + t_2^{new} + t_5^{new} + t_6^{new}}{4}, \Delta t_x^{old} = \frac{t_0^{old} + t_3^{old} + t_4^{old} + t_7^{old}}{4} - \frac{t_1^{old} + t_2^{old} + t_5^{old} + t_6^{old}}{4}$$

$$\Delta t_y^{new} = \frac{t_0^{new} + t_1^{new} + t_4^{new} + t_5^{new}}{4} - \frac{t_2^{new} + t_3^{new} + t_6^{new} + t_7^{new}}{4}, \Delta t_y^{old} = \frac{t_0^{old} + t_1^{old} + t_4^{old} + t_5^{old}}{4} - \frac{t_2^{old} + t_3^{old} + t_6^{old} + t_7^{old}}{4}$$

$$\Delta t_z^{new} = \frac{t_0^{new} + t_1^{new} + t_2^{new} + t_3^{new}}{4} - \frac{t_4^{new} + t_5^{new} + t_6^{new} + t_7^{new}}{4}, \text{ and } \Delta t_z^{old} = \frac{t_0^{old} + t_1^{old} + t_2^{old} + t_3^{old}}{4} - \frac{t_4^{old} + t_5^{old} + t_6^{old} + t_7^{old}}{4}.$$

K_x^{old} , K_y^{old} , and K_z^{old} are the thermal conductivities in the x, y, and z directions before the iteration.

K_x^{new} , K_y^{new} , and K_z^{new} are the thermal conductivities in the x, y, and z directions after the iteration.

Δt_x^{old} , Δt_y^{old} , and Δt_z^{old} are the change in temperature across the element with respect to the x, y, and z directions

before the iteration. Δt_x^{new} , Δt_y^{new} , and Δt_z^{new} are the change in temperature across the element with respect to the

x, y, and z directions after the iteration.

The thermal gradients, $g_{new} = \{g_x^{new}, g_y^{new}, g_z^{new}\}$ and $g_{old} = \{g_x^{old}, g_y^{old}, g_z^{old}\}$, are functions of the position, (x, y, z), in the element, and at the center of the element, (x_c, y_c, z_c), they are equal to:

$$g_x^{new} = \frac{\Delta t_x^{new}}{w}, g_x^{old} = \frac{\Delta t_x^{old}}{w} \quad (14, 15)$$

$$g_y^{new} = \frac{\Delta t_y^{new}}{h}, g_y^{old} = \frac{\Delta t_y^{old}}{h} \quad (16, 17)$$

$$g_z^{new} = \frac{\Delta t_z^{new}}{d}, g_z^{old} = \frac{\Delta t_z^{old}}{d} \quad (18, 19)$$

g_{new} is the desired new thermal gradient, and g_{old} is the thermal gradient of the element before the iteration. The

new K 's can be found by combining Equations (11)-(19):

$$K_x^{new} = \frac{K_x^{old} \Delta t_x^{old}}{\Delta t_x^{new}} = \frac{K_x^{old} g_x^{old}}{g_x^{new}} \quad (20)$$

$$K_y^{new} = \frac{K_y^{old} \Delta t_y^{old}}{\Delta t_x^{new}} = \frac{K_y^{old} g_y^{old}}{g_x^{new}} \quad (21)$$

$$K_z^{new} = \frac{K_z^{old} \Delta t_z^{old}}{\Delta t_x^{new}} = \frac{K_z^{old} g_z^{old}}{g_x^{new}} \quad (22)$$

$\{g_x^{new}, g_y^{new}, g_z^{new}\}$ is chosen so that its component magnitudes are closer to some ideal thermal gradient value, g_{ideal} , than $\{g_x^{old}, g_y^{old}, g_z^{old}\}$ using the following equations:

$$|g_x^{new}| = g_{ideal} \left(\frac{|g_x^{old}|}{g_{ideal}} \right)^\alpha \quad (23)$$

$$|g_y^{new}| = g_{ideal} \left(\frac{|g_y^{old}|}{g_{ideal}} \right)^\alpha \quad (24)$$

$$|g_z^{new}| = g_{ideal} \left(\frac{|g_z^{old}|}{g_{ideal}} \right)^\alpha \quad (25)$$

where g_{ideal} is a nonnegative value and α is a user defined parameter between 0 and 1. If the magnitude of the old thermal gradient is below g_{ideal} , the value is increased toward g_{ideal} for the new thermal gradient. If the magnitude of the old thermal gradient is above g_{ideal} , the value is decreased toward g_{ideal} . If the magnitude of the old thermal gradient equals g_{ideal} , then the thermal gradient is not modified.

Combining Equations (20)-(25) yields the following formulas that can be used to update the thermal conductivities during each iteration:

$$K_x^{new} = K_x^{old} \left(\frac{|g_x^{old}|}{g_{ideal}} \right)^{1-\alpha} \quad (26)$$

$$K_y^{new} = K_y^{old} \left(\frac{|g_y^{old}|}{g_{ideal}} \right)^{1-\alpha} \quad (27)$$

$$K_z^{new} = K_z^{old} \left(\frac{|g_z^{old}|}{g_{ideal}} \right)^{1-\alpha} \quad (28)$$

These formulas decrease the K 's when the thermal gradient is below g_{ideal} and increase them when the thermal gradient is above g_{ideal} . In the process, major sources of thermal impedance are eliminated in areas of greatest heat transfer, but for areas that are not on a critical heat sinking path, the K 's are decreased to eliminate unnecessary thermal vias.

The ideal thermal gradients, g_{ideal} , must be chosen and specifically adjusted to satisfy some desired thermal objective. This method is flexible enough to handle a number of different thermal objectives, but only one thermal objective can be used at a time. Each objective type produces a different version of the thermal via placement method to specifically reach its objective value. For example, six different objective types were explored in these experiments: maximum thermal gradient, average thermal gradient, maximum temperature, average temperature, maximum thermal via density, and average thermal via density. Before the first iteration, the ideal thermal gradient is initialized to the magnitude of the average thermal gradient. Each objective type uses a different equation to update the ideal thermal gradient during each iteration, and they are listed as follows:

$$g_{ideal} = g_{ideal} \frac{g_{max}^{ideal}}{g_{max}} \text{ for an ideal maximum thermal gradient, } g_{max}^{ideal} \quad (29)$$

$$g_{ideal} = g_{ideal} \frac{g_{ave}^{ideal}}{g_{ave}} \text{ for an ideal average thermal gradient, } g_{ave}^{ideal} \quad (30)$$

$$g_{ideal} = g_{ideal} \frac{T_{max}^{ideal}}{T_{max}} \text{ for an ideal maximum temperature, } T_{max}^{ideal} \quad (31)$$

$$g_{ideal} = g_{ideal} \frac{T_{ave}^{ideal}}{T_{ave}} \text{ for an ideal average temperature, } T_{ave}^{ideal} \quad (32)$$

$$g_{ideal} = g_{ideal} \frac{m_{max}}{m_{max}^{ideal}} \text{ for an ideal maximum thermal via density, } m_{max}^{ideal} \quad (33)$$

$$g_{ideal} = g_{ideal} \frac{m_{ave}}{m_{ave}^{ideal}} \text{ for an ideal average thermal via density, } m_{ave}^{ideal} \quad (34)$$

For the thermal gradient and temperature objective types, if the value is too low in the previous iteration, g_{ideal} is increased for Equations (29)-(32). Likewise, if the previous value exceeds the desired value, g_{ideal} is decreased to lower the thermal effects. For the thermal via density objective types in Equations (33) and (34), if the previous iteration's value is too low, g_{ideal} is decreased so more thermal vias will be needed. If the previous iteration's value is too high, g_{ideal} is increased so fewer thermal vias will be used. Other thermal objectives are possible with this method as long as an appropriate equation is used to update the ideal thermal gradient and the value of the objective is reachable.

4.2. Thermal Via Density

As stated earlier, the thermal conductivity of a thermal via region is determined by its density of thermal vias. After thermal via placement determines the required thermal conductivities, the thermal via densities can be determined. Individual thermal vias are assumed to be much smaller than the thermal via region, are arranged uniformly within the thermal via region, and change the effective thermal conductivity of the thermal via region. The percentage of thermal vias or metalization, m , (also called thermal via density) in a thermal via region is given by the following equation:

$$m = \frac{nA_{via}}{wh} \quad (35)$$

where n is the number of individual thermal vias in the region, A_{via} is the cross sectional area of each thermal via, w is the width of the region, and h is the height of the region. The relationship between the percentage of thermal vias and the effective vertical thermal conductivity is given by:

$$K_z^{eff} = mK_{via} + (1-m)K_z^{layer} \quad (36)$$

where K_{via} is the thermal conductivity of the via material and K_z^{layer} is the thermal conductivity of the region

without any thermal vias. Using this equation, the percentage of thermal vias can be found for any K_z^{new} provided that $K_z^{layer} \leq K_z^{new} \leq K_{via}$:

$$m = \frac{K_z^{new} - K_z^{layer}}{K_{via} - K_z^{layer}} \quad (37)$$

In this implementation, only the vertical thermal conductivities will be optimized using Equation (28). Equations (26) and (27) will not be used for updating the lateral thermal conductivities because the thermal vias are only oriented vertically in these experiments. During each iteration, the new vertical thermal conductivity is used to calculate the thermal via density, m , and the lateral thermal conductivities for each thermal via region. The effective lateral thermal conductivity can be found using the percentage of thermal vias, m :

$$K_x^{eff} = K_y^{eff} = (1 - \sqrt{m})K_{lateral}^{layer} + \frac{\sqrt{m}}{\frac{1 - \sqrt{m}}{K_{lateral}^{layer}} + \frac{\sqrt{m}}{K_{via}}} \quad (38)$$

Table 1. Thermal Conductivities of Thermal Vias Regions

| | Layer | | | Interlayer | | | Chip Average | | |
|----------|--|---------|---------------------|--|---------|---------------------|--|---------|---------------------|
| | Thermal Conductivity (W·m ⁻¹ ·K ⁻¹) | | Percent Thermal Via | Thermal Conductivity (W·m ⁻¹ ·K ⁻¹) | | Percent Thermal Via | Thermal Conductivity (W·m ⁻¹ ·K ⁻¹) | | Percent Thermal Via |
| | Vertical | Lateral | | Vertical | Lateral | | Vertical | Lateral | |
| Minimum | 1.11 | 2.15 | 0% | 1.10 | 1.10 | 0% | 1.11 | 2.06 | 0% |
| Midrange | 100.33 | 3.21 | 25% | 50.71 | 1.31 | 12.5% | 96.13 | 3.05 | 23.9% |
| Maximum | 199.55 | 5.75 | 50% | 100.33 | 1.65 | 25% | 191.14 | 5.40 | 47.9% |

Figure 4 and Table 1 show the relationship between the thermal via densities and the thermal conductivities in the vertical and lateral directions for thermal via regions having vertically oriented thermal vias. In Figure 4, Equations (36) and (38) were used to plot the relationship between the percentage of thermal vias in a thermal via region and its effective thermal conductivities (in units of W·m⁻¹·K⁻¹). It can be seen that the vertically oriented vias (as shown in Figure 1) produce a much greater effect in the vertical thermal conductivity. In Table 1, the minimum, midrange, and maximum thermal conductivity values are given. In the midrange case, the average of the minimum and the maximum thermal via density values of each thermal via region was used.

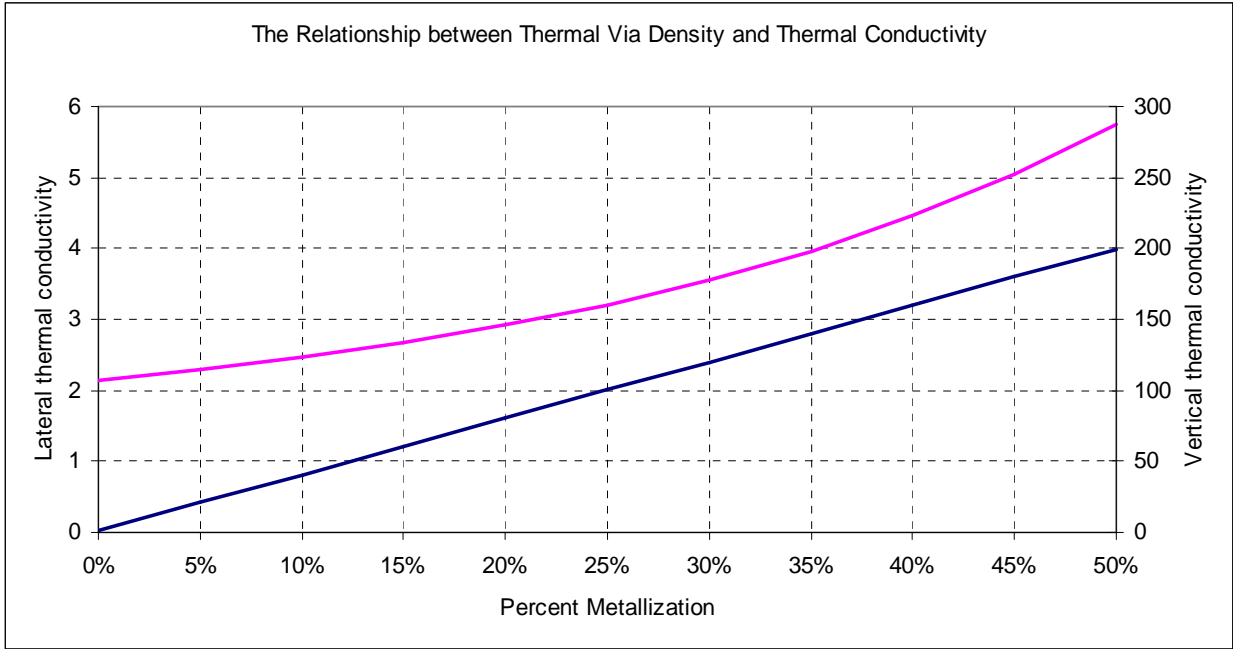


Figure 4. Percentage of thermal vias vs. thermal conductivity.

5. IMPLEMENTATION

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THERMAL_VIA_PLACEMENT(objective) {
  SET  $g_{ideal}$  TO  $g_{ave}$ 
  SET  $K$ 'S TO MINIMUM
  CALCULATE TEMPERATURE PROFILE
  WHILE NOT CONVERGED {
    FOR EACH THERMAL VIA REGION {
       $K_z = K_z (|g_z|/g_{ideal})^{1-\alpha}$ 
      UPDATE  $K_{lateral}$ 
    }
    CALCULATE TEMPERATURE PROFILE
    UPDATE  $g_{ideal}$  USING objective
  }
}

```

Figure 5. Pseudocode of the thermal via placement algorithm.

In the thermal via placement method shown in Figure 5, the thermal gradients are used to update the thermal conductivities of the thermal via regions. In the process, the thermal gradients are compared to an ideal thermal gradient value which is modified during each iteration so that a certain *objective* is reached. In our implementation, the desired *objective* value for one of six different objective types is used by the algorithm: maximum thermal gradient, average thermal gradient, maximum temperature, average temperature, maximum

thermal via density, or average thermal via density. Based on the objective type, the ideal thermal gradient is updated accordingly during each iteration.

The thermal via placement algorithm is initialized by setting the ideal thermal gradient, g_{ideal} , to the average thermal gradient, g_{aves} , obtained in the midrange case. In addition, all thermal conductivities (K 's) are set to their minimum values, and an initial temperature profile is calculated before entering the main loop. Temperature profiles are calculated using FEA, as described in Section 3, and this gives the temperatures of the nodes and thermal gradients of the elements.

In each iteration of the main loop, the thermal conductivities of the thermal via regions are modified, and the temperature profile of the chip is recalculated using the new thermal conductivities. For each thermal via region, the vertical thermal gradient, g_z , at the center of the element is calculated using Equation (19). Using the magnitude of g_z , a new vertical thermal conductivity, K_z , is calculated using Equation (28) (α is set to 0.5 in these experiments). If the new thermal conductivity exceeds the minimum or maximum value for that element, it is modified to the value of the bound that it exceeds. Using the new vertical thermal conductivity, the thermal via density and lateral thermal conductivities, $K_{lateral}$, are also updated using Equations (37) and (38).

Using the new temperature profile of the chip, the ideal thermal gradient is modifying with the desired *objective* value using Equation (29), (30), (31), (32), (33), or (34) depending on which objective type is used. The algorithm terminates after the 1-norm of the change in the K 's is less than some small $\epsilon > 0$ and percent difference between the current value and desired *objective* value is also within ϵ .

In choosing a desired *objective* value to be given to the algorithm, it must be between the values obtained when all the K 's are minimized and all the K 's are maximized. The algorithm simply finds the configuration of thermal vias between these two extremes that gives the desired *objective* value. For example, if an ideal maximum temperature, T_{max}^{ideal} , for the chip is desired, it must be less than the maximum temperature obtained when all the K 's are minimized and greater than the maximum temperature obtained when all the K 's are maximized in order for this maximum temperature to be realizable. In these experiments, the desired *objective*

values were used from the case where all the thermal via regions were given the midrange values as shown in Table 1. This gives thermal gradient and temperature values that are greatly reduced from the case when no thermal vias were used and provides a comparison to the case where all the thermal via regions are given the same via density. In practice, it would be useful to use some maximum allowable value of the design for the desired *objective* value. For example, a maximum allowable operating temperature of the chip could be used for T_{max}^{ideal} in order to determine minimum amount of thermal via and configuration needed to achieve this maximum temperature.

6. RESULTS

The algorithm for thermal via insertion was implemented as a computer program, written in C++ and run on a Linux workstation with a Pentium 4 3.2GHz CPU and 2GB memory. The conjugate gradient solver with ILU factorization preconditioning from the LASPack package [13] was used in our program to solve the FEA systems of equations. The thermal via placement method was tested using benchmark circuits from the MCNC suite [14] and the IBM-PLACE benchmarks [15].

The bulk substrate thickness was set to 500 μ m, the layer thicknesses were set to 5.7 μ m, and the interlayer thicknesses were set to 0.7 μ m. Four layers were used, and the chip size was fixed at 2cm x 2cm with the cell sizes adjusted accordingly. Thermal via regions were even distributed between the rows and given 10% of the total chip area. The thermal conductivity of the silicon in the bulk substrate was set to 150W/mK, and the thermal vias were assumed to be copper with a thermal conductivity of 398W/mK. The thermal conductivities used in the layer and inter-layer elements are shown in Table 1. Thermal via regions had variable thermal conductivities ranging from the minimum to maximum values given in Table 1. All other elements used the thermal conductivities corresponding to the lateral midrange values.

A random power distribution was used with 90% of the cells having power densities ranging from 0 to 2×10^6 W/m² and 10% of the cells having power densities ranging from 2×10^6 to 4×10^6 W/m². The bottom of the chip was made isothermic with the ambient temperature to represent the heat sink, and the top and sides of the

chip were made insulated in order to simulate the low heat sinking properties of the packaging. The ambient temperature was set to 0°C for convenience, but the temperatures can be translated by the amount of any other ambient as desired.

6.1. Using Uniform Thermal Via Densities

Table 2. Thermal Properties with a Uniform Distribution of Thermal Via Densities

| Benchmark Circuit | | | Thermal Via Densities of Thermal Vias Regions | | | | | | | | | | | |
|-------------------|--------|--------|---|-------------------|--------------------|--------------------|-------------------|-------------------|--------------------|--------------------|-------------------|-------------------|--------------------|--------------------|
| | | | Minimum (0%) | | | | Midrange (23.9%) | | | | Maximum (47.9%) | | | |
| name | cells | nets | T_{ave} (°C) | T_{max} (°C) | g_{ave} (K/m) | g_{max} (K/m) | T_{ave} (°C) | T_{max} (°C) | g_{ave} (K/m) | g_{max} (K/m) | T_{ave} (°C) | T_{max} (°C) | g_{ave} (K/m) | g_{max} (K/m) |
| struct | 1888 | 1921 | 15.4 | 58.9 | 1.86E+5 | 2.07E+6 | 10.9 | 35.0 | 5.67E+4 | 6.14E+5 | 10.4 | 31.3 | 4.08E+4 | 3.87E+5 |
| biomed | 6417 | 5743 | 14.0 | 62.2 | 1.67E+5 | 2.25E+6 | 10.0 | 32.0 | 4.92E+4 | 6.29E+5 | 9.5 | 26.1 | 3.35E+4 | 4.03E+5 |
| ibm01 | 12282 | 11754 | 14.2 | 45.1 | 1.71E+5 | 1.64E+6 | 10.1 | 26.2 | 4.96E+4 | 5.92E+5 | 9.6 | 22.7 | 3.36E+4 | 3.77E+5 |
| ibm04 | 26633 | 26451 | 13.5 | 54.0 | 1.51E+5 | 2.00E+6 | 10.0 | 26.5 | 4.42E+4 | 4.71E+5 | 9.6 | 21.4 | 2.98E+4 | 2.94E+5 |
| ibm09 | 51746 | 50679 | 13.8 | 53.0 | 1.56E+5 | 1.46E+6 | 10.2 | 26.8 | 4.55E+4 | 5.32E+5 | 9.8 | 21.4 | 3.04E+4 | 3.41E+5 |
| ibm13 | 81508 | 84297 | 14.6 | 47.3 | 1.84E+5 | 1.88E+6 | 10.3 | 23.6 | 5.34E+4 | 6.53E+5 | 9.7 | 19.3 | 3.55E+4 | 4.25E+5 |
| ibm15 | 158244 | 161580 | 15.1 | 52.8 | 2.01E+5 | 2.00E+6 | 10.5 | 26.5 | 5.78E+4 | 6.97E+5 | 9.9 | 20.6 | 3.83E+4 | 4.54E+5 |

In the first set of experiments, thermal via densities were increased from their minimum to maximum values, and the change in the temperatures and thermal gradients was observed as shown in Table 2. In this table, T_{ave} is the average temperature, T_{max} is the maximum temperature, g_{ave} is the average thermal gradient, and g_{max} is the maximum thermal gradient. The units used in this table and the proceeding tables are in °C for the temperatures and K/m for the thermal gradients. The thermal via regions were all assigned the same minimum, midrange, and maximum thermal conductivity values from Table 1. The minimum values correspond to the case where no thermal vias are used, the maximum values correspond to maximum thermal via usage, and in the midrange case, thermal via regions were assigned thermal via densities that were the average of the minimum and maximum values. In Table 2, we can see that as the thermal via densities of the thermal via regions are increased, the temperatures and thermal gradients decrease. The temperatures and thermal gradients in the minimum and maximum cases define the bounds on the thermal values that can be obtained from adjusting the thermal via densities. At the midrange with an average thermal via density of 23.9% in the thermal via regions, the maximum

temperatures were 47.3% lower and the average temperatures were 28.3% lower than in the case where no thermal vias were used.

The previous set of experiments demonstrate the baseline thermal improvements that can be made by using a simple thermal via placement scheme where thermal via regions are given a uniform distribution of thermal via densities. However, with the more sophisticated thermal via placement method from Figure 5, larger thermal improvements can be made with fewer thermal vias and a nonuniform distribution of thermal via densities. As will be seen in the following experiments, the thermal via placements that are generated by this algorithm lie along a continuous curve of optimized thermal via placements between the minimum and maximum cases of Table 2. This stems from the fact that the algorithm converges at a specific value for the internal variable, g_{ideal} , and produces a thermal via placement that corresponds to it. This algorithm finds the point, representing a specific thermal via placement, along this curve that satisfies the desired thermal objective.

Six objective types were examined in the following experiments: maximum thermal gradient, average thermal gradient, maximum temperature, average temperature, maximum thermal via density, and average thermal via density. For each of these experiments, the values obtained in the midrange case from Table 2 were used as the desired objective values for the algorithm. These values are used only to illustrate the use and effectiveness of this thermal via placement method, and any other values can be used as the objective as long as they are between the values obtained at the minimum and maximum cases.

6.2. Thermal Gradient Objectives

In Figure 6, the average and maximum thermal gradients were plotted against the thermal via densities for thermal via placements obtained using our method and a uniform distribution of thermal vias for the benchmark circuit struct. The solid curves represent the values obtained using our thermal via placement method, and as can be seen, these curves are significantly better than the dashed curves obtained using a uniform distribution of thermal via densities.

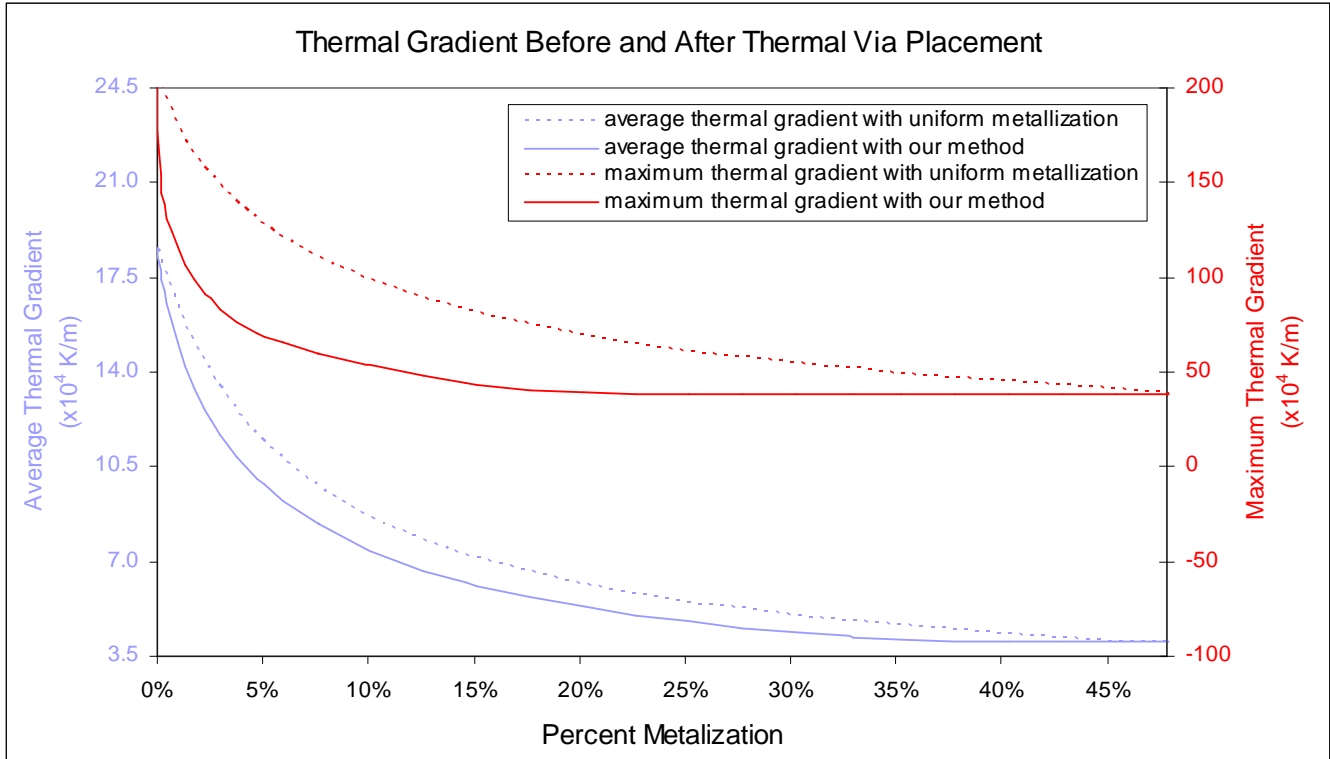


Figure 6. Thermal gradient optimization curves for struct.

In Table 3, thermal via placements were obtained using the maximum thermal gradients from the midrange case (in Table 2) as the objectives. In this table, K_{ave} is the average vertical thermal conductivity of the thermal via regions, and m_{ave} is the average density of thermal vias in the thermal via regions. On average, thermal via placements had a thermal via density of only 10.2% in the thermal via regions in order to obtain the same maximum thermal gradients as in the midrange case. This means that 57.3% fewer thermal vias were needed with thermal via placement than in the midrange case to obtain the same maximum thermal gradients. These maximum thermal gradients were 68.1% lower than in the case where no thermal vias were used. In these experiments, thermal via regions were assigned to only 10% of the total chip area, and thermal vias require only 10.2% of this area to satisfy this objective so thermal vias would occupy only 1.02% of the total chip area. With this small amount of blockages, it is expected that routability would be minimally affected.

Table 3. Optimization to Maximum Thermal Gradient

| Circuits | K_{ave} ($W \cdot m^{-1} \cdot K^{-1}$) | m_{ave} | T_{ave} ($^{\circ}C$) | T_{max} ($^{\circ}C$) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|---|-----------|---------------------------|---------------------------|-----------------|-----------------|
| struct | 29.3 | 7.1% | 11.6 | 36.1 | 8.61E+04 | 6.14E+05 |
| biomed | 44.7 | 11.0% | 10.2 | 33.1 | 6.22E+04 | 6.29E+05 |
| ibm01 | 29.6 | 7.2% | 10.8 | 30.7 | 7.71E+04 | 5.92E+05 |
| ibm04 | 52.1 | 12.8% | 10.1 | 26.4 | 5.14E+04 | 4.71E+05 |
| ibm09 | 39.7 | 9.7% | 10.5 | 29.0 | 6.15E+04 | 5.32E+05 |
| ibm13 | 42.3 | 10.4% | 10.6 | 26.0 | 7.03E+04 | 6.53E+05 |
| ibm15 | 54.3 | 13.4% | 10.6 | 25.1 | 6.80E+04 | 6.97E+05 |

In Table 4, thermal via placements were obtained using the average thermal gradients from the midrange case as the objectives. The thermal via placement method used an average thermal via density of 17.6% in the thermal via regions instead of 23.9%. These thermal via placements gave lower reductions in the thermal via densities than with the maximum thermal gradient objectives because there is less room for improvement with the average thermal gradient values as can be seen in Figure 6 by the smaller gap between the curves.

Table 4. Optimization to Average Thermal Gradient

| Circuits | K_{ave} ($W \cdot m^{-1} \cdot K^{-1}$) | m_{ave} | T_{ave} ($^{\circ}C$) | T_{max} ($^{\circ}C$) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|---|-----------|---------------------------|---------------------------|-----------------|-----------------|
| struct | 71.1 | 17.6% | 10.7 | 32.3 | 5.67E+04 | 4.01E+05 |
| biomed | 70.5 | 17.5% | 9.8 | 29.3 | 4.92E+04 | 5.13E+05 |
| ibm01 | 71.2 | 17.7% | 10.0 | 24.9 | 4.96E+04 | 3.88E+05 |
| ibm04 | 69.3 | 17.2% | 9.9 | 24.4 | 4.42E+04 | 4.23E+05 |
| ibm09 | 70.6 | 17.5% | 10.1 | 24.4 | 4.55E+04 | 3.96E+05 |
| ibm13 | 70.8 | 17.6% | 10.1 | 22.4 | 5.34E+04 | 4.92E+05 |
| ibm15 | 72.9 | 18.1% | 10.3 | 22.5 | 5.78E+04 | 5.92E+05 |

6.3. Temperature Objectives

In Figure 7, the average and maximum temperatures were plotted against the thermal via densities for thermal via placements obtained using our method and the simple method with uniform thermal via densities. The solid curves represent the temperatures obtained using our thermal via placement method and are significantly better than the dashed curves obtained using a uniform distribution of thermal via densities.

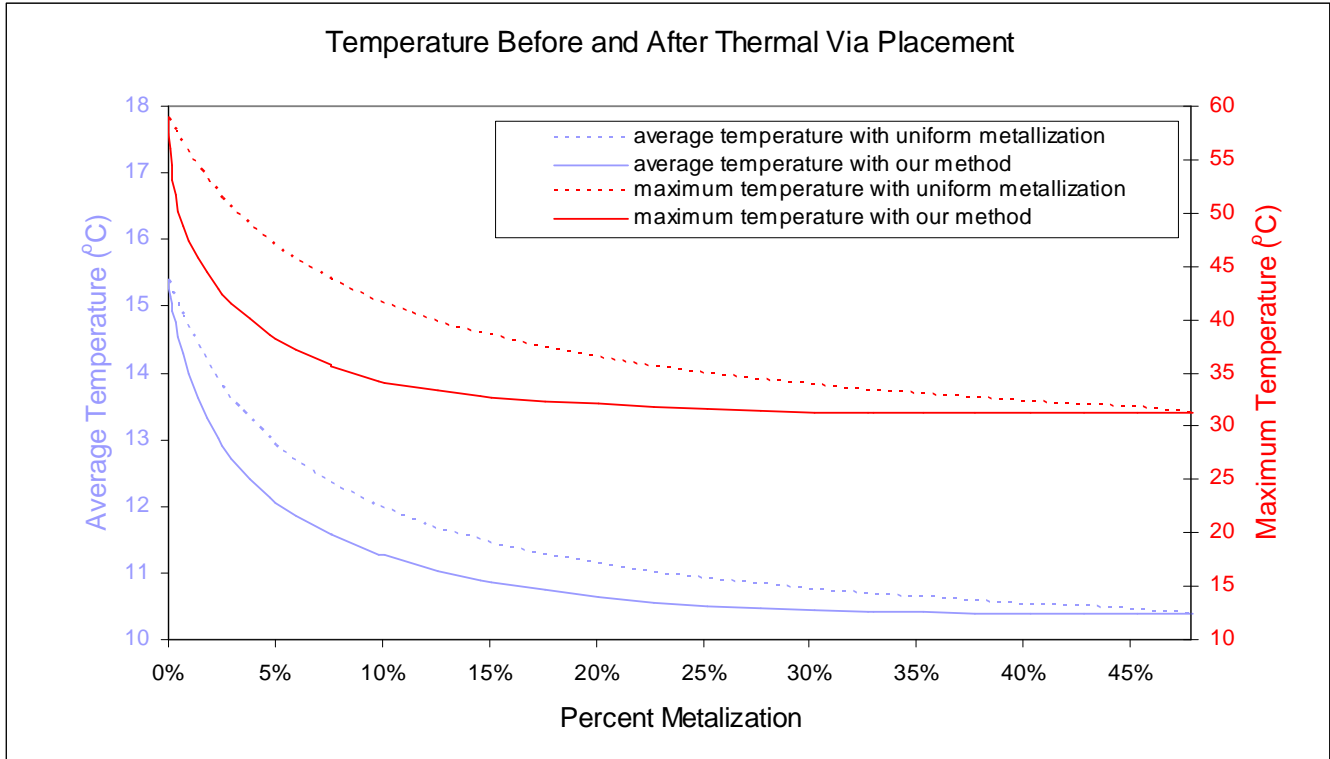


Figure 7. Temperature optimization curves for struct.

In Table 5, thermal via placements were obtained using the maximum temperatures from the midrange case as the objectives. On average, the thermal via placements used only a thermal via density of 12.3% in the thermal via regions in order to obtain maximum temperatures that are 47.3% lower than in the minimum case. 48.5% fewer thermal vias were needed than in the midrange case to obtain the same maximum temperatures. With only 10% of the chip area assigned to thermal via regions, thermal vias would occupy only 1.23% of the total chip area.

Table 5. Optimization to Maximum Temperature

| Circuits | K_{ave} ($\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$) | m_{ave} | T_{ave} ($^{\circ}\text{C}$) | T_{max} ($^{\circ}\text{C}$) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|--|-----------|----------------------------------|----------------------------------|-----------------|-----------------|
| struct | 34.9 | 8.5% | 11.4 | 35.0 | 7.97E+04 | 5.74E+05 |
| biomed | 50.1 | 12.3% | 10.1 | 32.0 | 5.88E+04 | 5.94E+05 |
| ibm01 | 57.1 | 14.1% | 10.1 | 26.2 | 5.58E+04 | 4.20E+05 |
| ibm04 | 51.6 | 12.7% | 10.1 | 26.5 | 5.16E+04 | 4.72E+05 |
| ibm09 | 51.1 | 12.6% | 10.3 | 26.8 | 5.40E+04 | 4.72E+05 |
| ibm13 | 59.8 | 14.8% | 10.3 | 23.6 | 5.85E+04 | 5.43E+05 |
| ibm15 | 46.0 | 11.3% | 10.8 | 26.5 | 7.44E+04 | 7.55E+05 |

The average temperatures from the midrange case were used as the desired objective values for the results in Table 6. With this objective, an average thermal via density of 14.3% was needed. Similar to the average thermal gradient curves, the gap between the average temperature curves in Figure 7 show that little improvement can be expected with the average temperature.

Table 6. Optimization to Average Temperature

| Circuits | K_{ave} ($W \cdot m^{-1} \cdot K^{-1}$) | m_{ave} | T_{ave} ($^{\circ}C$) | T_{max} ($^{\circ}C$) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|---|-----------|---------------------------|---------------------------|-----------------|-----------------|
| struct | 57.9 | 14.3% | 10.9 | 32.9 | 6.27E+04 | 4.45E+05 |
| biomed | 57.0 | 14.1% | 10.0 | 30.9 | 5.50E+04 | 5.64E+05 |
| ibm01 | 58.0 | 14.3% | 10.1 | 26.1 | 5.53E+04 | 4.16E+05 |
| ibm04 | 57.2 | 14.1% | 10.0 | 25.7 | 4.89E+04 | 4.55E+05 |
| ibm09 | 58.2 | 14.4% | 10.2 | 25.7 | 5.04E+04 | 4.41E+05 |
| ibm13 | 57.7 | 14.3% | 10.3 | 23.8 | 5.97E+04 | 5.54E+05 |
| ibm15 | 59.1 | 14.6% | 10.5 | 24.3 | 6.50E+04 | 6.67E+05 |

6.4. Thermal Via Density Objectives

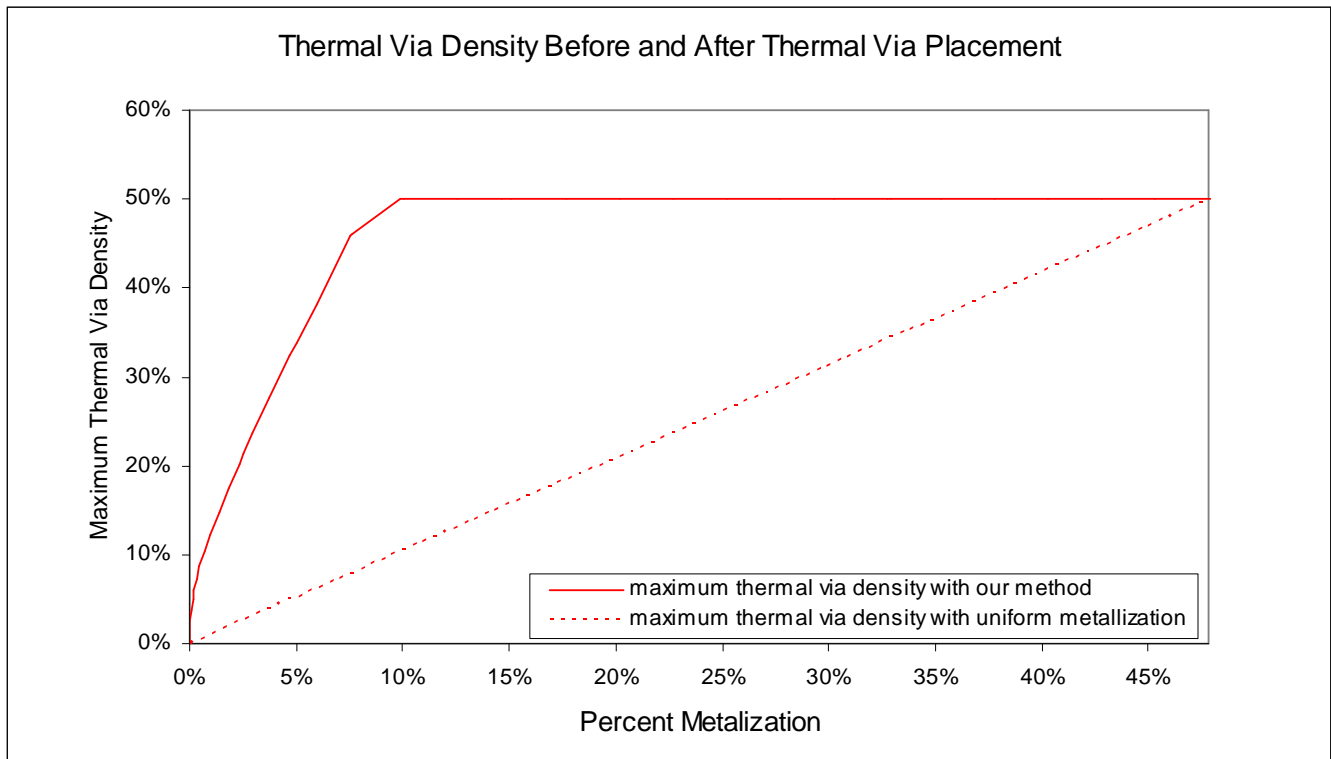


Figure 8. Maximum thermal via densities for struct.

The maximum thermal via densities produced using our method and the uniform thermal via density method are plotted in Figure 8. The solid curve was obtained using our thermal via placement method, and it rapidly increases and plateaus at the maximum value as the average thermal via density increases. The dashed curve was obtained using a uniform distribution of thermal via densities and increases linearly as a result.

Table 7. Optimization to Maximum Thermal Via Density

| Circuits | m_{ave} | m_{max} | T_{ave} (°C) | T_{max} (°C) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|-----------|-----------|----------------|----------------|-----------------|-----------------|
| struct | 3.3% | 25.0% | 12.6 | 40.9 | 1.14E+05 | 8.06E+05 |
| biomed | 3.3% | 25.0% | 11.5 | 44.8 | 1.01E+05 | 1.02E+06 |
| ibm01 | 5.2% | 25.0% | 11.2 | 32.8 | 8.82E+04 | 6.85E+05 |
| ibm04 | 4.1% | 25.0% | 11.2 | 36.2 | 8.61E+04 | 7.18E+05 |
| ibm09 | 5.2% | 25.0% | 11.2 | 34.6 | 8.19E+04 | 6.89E+05 |
| ibm13 | 4.4% | 25.0% | 11.6 | 31.4 | 1.02E+05 | 9.26E+05 |
| ibm15 | 4.0% | 25.0% | 12.0 | 35.6 | 1.17E+05 | 1.07E+06 |

Table 7 shows the thermal via placements obtained using a maximum thermal via density of 25% (from the midrange case) as the objective. The average thermal via densities obtained for this objective were much lower and had an average of 4.2%. The other thermal properties were not as good as in the midrange case but were much better than the minimum case where no thermal vias were used. With the value of 25% for the maximum thermal via density, significant thermal improvements can be made with very little thermal via utilization. At this point on the optimization curve, thermal via regions use only 4.2% of its area, but the maximum thermal gradient is reduced by 55.5% and the maximum temperature is reduced by 31.4% as compared to the case where no thermal vias are present. This objective could also be used to ensure that no thermal via regions use more thermal vias than some specified amount that is lower than the actual maximum possible utilization.

The average thermal via density of 23.9%, same as the midrange case, was used as the desired objective value for Table 8. As you can see, with the same average thermal via density, the thermal properties are improved considerably over the thermal via placements with uniform thermal via densities. The results from this will be more clearly summarized in the next section.

Table 8. Optimization to Average Thermal Via Density

| Circuits | K_{ave} ($W \cdot m^{-1} \cdot K^{-1}$) | m_{ave} | T_{ave} ($^{\circ}C$) | T_{max} ($^{\circ}C$) | g_{ave} (K/m) | g_{max} (K/m) |
|----------|---|-----------|---------------------------|---------------------------|-----------------|-----------------|
| struct | 96.1 | 23.9% | 10.5 | 31.7 | 4.89E+04 | 3.87E+05 |
| biomed | 96.1 | 23.9% | 9.6 | 27.3 | 4.13E+04 | 4.08E+05 |
| ibm01 | 96.1 | 23.9% | 9.8 | 23.4 | 4.19E+04 | 3.77E+05 |
| ibm04 | 96.1 | 23.9% | 9.7 | 22.2 | 3.67E+04 | 3.34E+05 |
| ibm09 | 96.1 | 23.9% | 9.9 | 22.5 | 3.81E+04 | 3.41E+05 |
| ibm13 | 96.1 | 23.9% | 9.9 | 20.6 | 4.46E+04 | 4.25E+05 |
| ibm15 | 96.1 | 23.9% | 10.0 | 21.1 | 4.91E+04 | 4.66E+05 |

6.5. Comparing Different Objectives

A number of observations can be obtained from the optimization curves shown in Figures 6, 7, and 8. Not only can the absolute improvement of thermal via placements be compared with the minimum case where no thermal vias are present, but also the relative improvement can be seen against the simple method with uniform thermal via densities. The thermal improvements can be observed at any average thermal via density by comparing the curves vertically. In addition, the reduction in the average thermal via densities can be observed for any particular thermal objective by comparing the curves horizontally.

Table 9. Summary of Results for Different Objectives

| Objective | Average percent change from the midrange case | | | | | |
|-----------|---|-----------|-----------|-----------|-----------|-----------|
| | g_{max} | g_{ave} | T_{max} | T_{ave} | m_{max} | m_{ave} |
| g_{max} | 0.0% | 33.5% | 5.2% | 3.3% | 79.6% | -57.3% |
| g_{ave} | -23.3% | 0.0% | -8.3% | -1.7% | 100.0% | -26.5% |
| T_{max} | -8.6% | 20.9% | 0.0% | 1.4% | 100.0% | -48.5% |
| T_{ave} | -15.3% | 11.3% | -3.5% | 0.0% | 100.0% | -40.3% |
| m_{max} | 40.9% | 93.3% | 30.7% | 12.7% | 0.0% | -82.4% |
| m_{ave} | -34.5% | -15.7% | -14.3% | -3.7% | 100.0% | 0.0% |

The results for these six objective types are summarized in Table 9. The average percent differences between the thermal via placement and the midrange case values are given in this table. The thermal via placement method was very accurate in achieved the desired objective values as can be seen by the zero percents in the diagonal. This is not surprising since ϵ was set to 0.001 in these experiments. When given the same average

thermal via density, the maximum thermal gradient is reduced by 34.5% and the maximum temperatures are reduced by 14.3%. With the maximum thermal gradient objective, temperature values are increased only slightly, but the thermal via densities are reduced greatly having an average reduction of 57.3%. The percent difference between the values obtained with this method and with no thermal vias is shown in Table 10. With each case, thermal properties are greatly improved at the expense of larger thermal via densities.

Table 10. The Results compared to the Minimum Case

| Objective | Average percent change from the minimum case | | | | | |
|-----------|--|-----------|-----------|-----------|-----------|-----------|
| | g_{max} | g_{ave} | T_{max} | T_{ave} | m_{max} | m_{ave} |
| g_{max} | -68.1% | -60.8% | -44.5% | -25.9% | 44.9% | 10.2% |
| g_{ave} | -75.7% | -70.7% | -51.6% | -29.5% | 50.0% | 17.6% |
| T_{max} | -71.1% | -64.5% | -47.3% | -27.3% | 50.0% | 12.3% |
| T_{ave} | -73.2% | -67.4% | -49.2% | -28.3% | 50.0% | 14.3% |
| m_{max} | -55.5% | -43.3% | -31.4% | -19.2% | 25.0% | 4.2% |
| m_{ave} | -79.2% | -75.3% | -54.7% | -31.0% | 50.0% | 23.9% |

6.6. Run Time

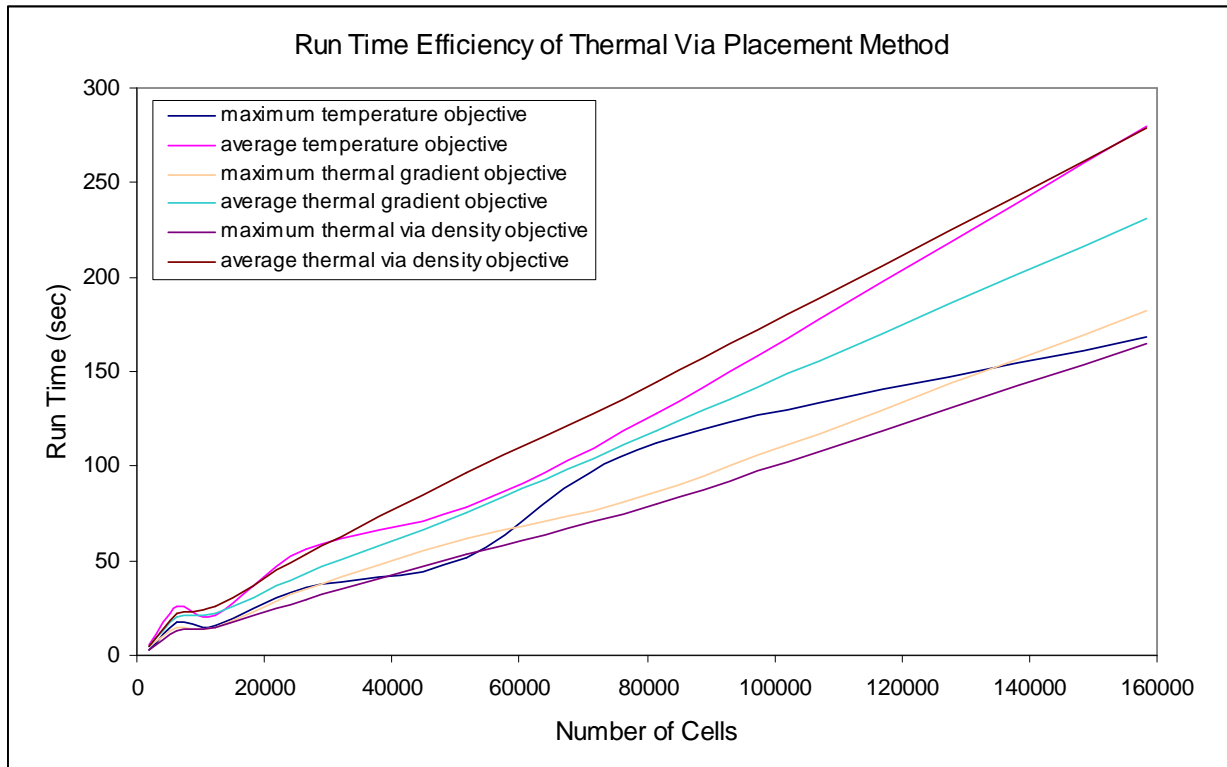


Figure 9. Run Time Efficiency of our Method.

The run time efficiency of the thermal via placement algorithm was also examined in Figure 9. In this figure we see that the thermal via placement method has linear time efficiency across a wide range of circuit sizes for all six thermal objectives used. This is achieved by using an efficient thermal solver and because the thermal via placement method convergences in roughly the same number of iterations.

6.7. Thermal Profile of Struct

Temperature profiles before and after thermal via placement with a maximum temperature objective are shown in Figures 10 and 11 for the struct benchmark. In Figure 10, the heat sink is located at the bottom of the chip, and temperature contours are superimposed on the standard cells. Red indicates areas of high temperature, and blue represents areas of low temperature. As you can see, the temperatures increase as you go away from the heat sink toward the upper layers and toward the middle of the chip. After thermal via placement as shown in Figure 11, the temperatures are greatly reduced.

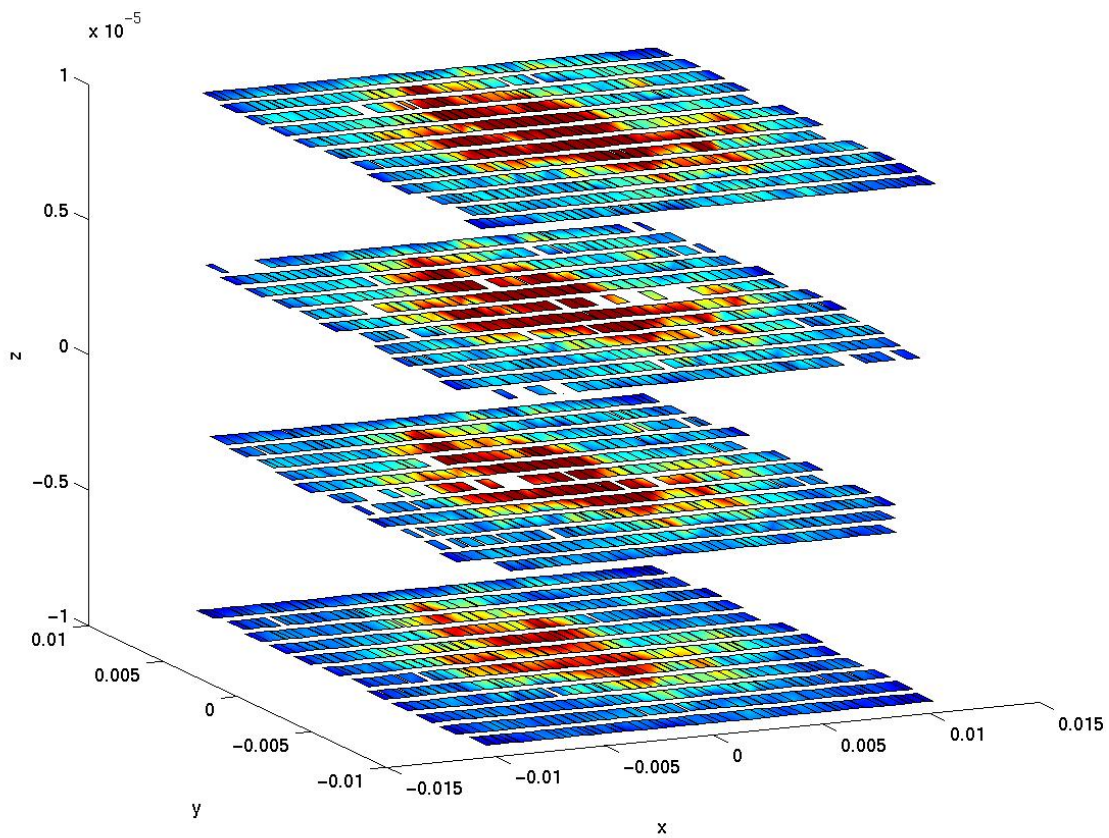


Figure 10. The thermal profile of struct before thermal via placement.

In Figure 11, the thermal via regions are superimposed on the resulting temperature profile after thermal via placement is performed. Thermal via regions are represented as small squares arranged in a grid pattern. The percentage of thermal vias in the thermal via regions is represented by its color. A red square represents a thermal via region with maximum number of thermal vias utilized. A blue square represents a thermal via region with minimum number of thermal vias utilized. The colored contours around the thermal via regions represent the temperatures. The heat sink is located at the bottom, and the thermal via regions were of greatest strength at the bottom of the chip where the thermal gradients are the highest and the most impact can be made in reducing thermal problems. However, at the top of the chip where the temperatures are the highest, the thermal vias are minimally used. In these areas, the thermal gradients are quite low and little impact can be made there.

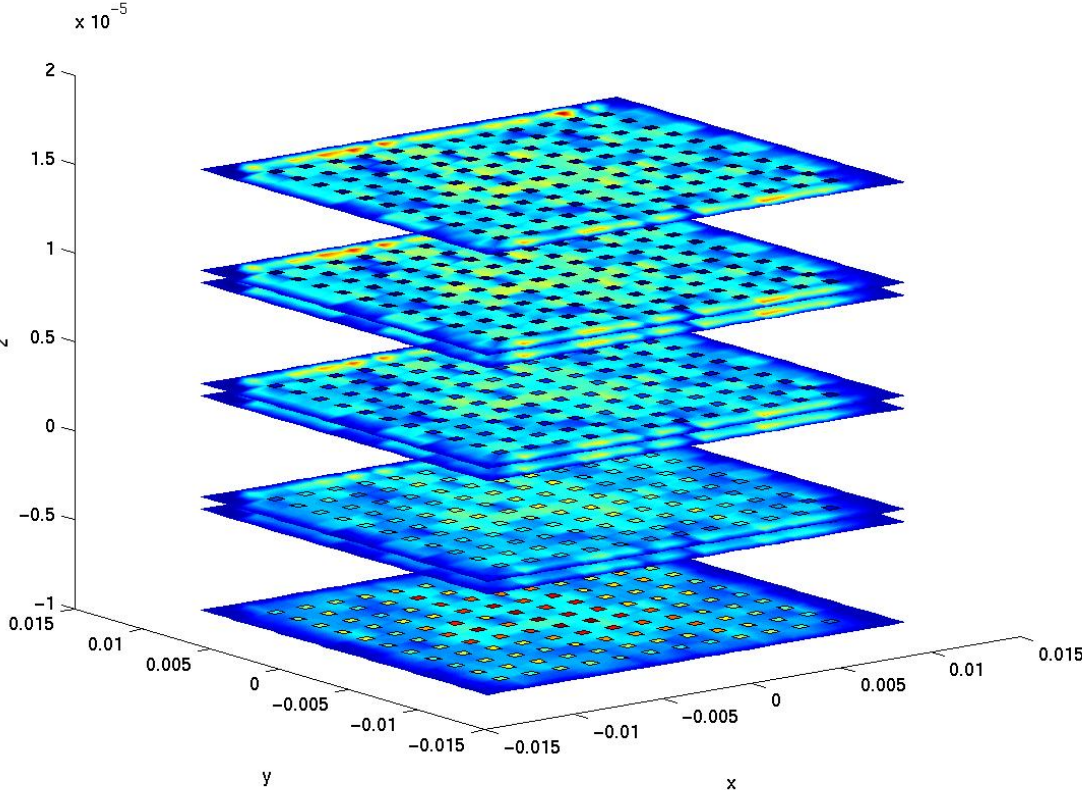


Figure 11. The thermal profile and thermal vias regions of struct after thermal via placement.

7. CONCLUSION

An efficient thermal via placement method was presented that attempts to overcome the thermal issues produced in the design of 3D ICs. The resulting thermal via placements have lower temperatures and thermal gradients with minimal use of thermal vias. The method is flexible enough to handle different thermal objectives such as obtaining a specific maximum temperature for the chip. As shown in Figures 6, 7, and 8, thermal via placements produced by this method lie on a continuous path from the minimum to maximum cases. These curves show significant improvement over using a uniform distribution of thermal via densities. In these experiments, the thermal via placement method used 48.5% fewer thermal vias to reach the same 47.3% reduction in the maximum temperatures that was obtained by given all the thermal via regions the same midrange thermal via densities.

The method makes iterative improvements to the thermal via placement until the desired objective value is reached. In the process, the thermal conductivities of the thermal via regions are modified in order to satisfy this objective. Each thermal conductivity corresponds to a particular percentage of thermal vias in the thermal via region. There is a tradeoff between thermal effects and thermal via densities as seen in Table 2. There is also a tradeoff between area used for routing and area used for thermal vias. Consequently, this produces a tradeoff between thermal problem reduction using thermal vias and routability. An important observation is that thermal vias placed in areas of high temperature, such as in the upper-most layer, have little impact in reducing thermal problems. This algorithm places thermal vias where they will have the most impact using the thermal gradient as a guide. High temperatures can only be reduced by alleviating the high thermal gradients leading up to them. The thermal resistance of these heat conduction paths is reducing by lowering the thermal conductivities of elements along it.

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